

# UNITED STATES DISTRICT COURT

EASTERN

District of

NORTH CAROLINA

UNITED STATES OF AMERICA

V.

OCEANIC ILLSABE LIMITED

## JUDGMENT IN A CRIMINAL CASE

(For Organizational Defendants)

CASE NUMBER: 7:15-CR-108-3H

George Chalos, Briton Sparkman, Don Evans, Jr.

Defendant Organization's Attorney

### THE DEFENDANT ORGANIZATION:

- ☐ pleaded guilty to count(s) \_\_\_\_\_
- ☐ pleaded nolo contendere to count(s) \_\_\_\_\_  
which was accepted by the court.
- ☒ was found guilty on count(s) 1, 2, 3, 4, 5, 6, 7, 8 and 9  
after a plea of not guilty.

The organizational defendant is adjudicated guilty of these offenses:

<u>Title &amp; Section</u>	<u>Nature of Offense</u>	<u>Offense Ended</u>	<u>Count</u>
18 U.S.C. § 371	Conspiracy to Violate the Act to Prevent Pollution from Ships	July 16, 2015	1
(Cont. on page 2)	Obstruct Justice, and Engage in Witness Tampering		

The defendant organization is sentenced as provided in pages 2 through 6 of this judgment.

- ☐ The defendant organization has been found not guilty on count(s) \_\_\_\_\_
- ☐ Count(s) \_\_\_\_\_ ☐ is ☐ are dismissed on the motion of the United States.

It is ordered that the defendant organization must notify the United States attorney for this district within 30 days of any change of name, principal business address, or mailing address until all fines, restitution, costs, and special assessments imposed by this judgment are fully paid. If ordered to pay restitution, the defendant organization must notify the court and United States attorney of material changes in economic circumstances.

Defendant Organization's

Federal Employer I.D. No.: N/A

Defendant Organization's Principal Business Address:

17-19 Agiou Konstantinou & Agion Anargyron Street  
151 24, Maroussi, Athens, Greece

January 11, 2017

Date of Imposition of Judgment

Signature of Judge

Honorable Malcolm J. Howard Sr. U.S. District Judge

Name of Judge

Title of Judge

January 11, 2017

Date

Defendant Organization's Mailing Address:

17-19 Agiou Konstantinou & Agion Anargyron  
Street, 151 24, Maroussi, Athens, Greece

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**ADDITIONAL COUNTS OF CONVICTION**

<b><u>Title &amp; Section</u></b>	<b><u>Nature of Offense</u></b>	<b><u>Offense Ended</u></b>	<b><u>Count</u></b>
22 U.S.C. § 1908	Failure to Maintain Accurate Oil Record Book- Act to Prevent Pollution from Ships and Aiding and Abetting	July 15, 2015	2
18 U.S.C. § 1519 and 18 U.S.C. § 2	Obstruction of Justice and Aiding and Abetting	July 15, 2015	3
18 U.S.C. § 1001	False Statements	July 15, 2015	4
18 U.S.C. § 1505	Obstruction of Justice	July 15, 2015	5
18 U.S.C. § 1512(b)(3)	Witness Tampering	July 16, 2015	6, 7, 8 & 9

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### PROBATION

The defendant organization is hereby sentenced to probation for a term of :

5 years (5 years on each of Counts 1 through 9 to run concurrently)

IT IS ORDERED that the court will consider termination of probation after one year and payment of all fines, community service payments, interest, and special assessments.

The defendant organization shall not commit another federal, state or local crime.

If this judgment imposes a fine or a restitution obligation, it is a condition of probation that the defendant organization pay in accordance with the Schedule of Payments sheet of this judgment.

The defendant organization must comply with the standard conditions that have been adopted by this court as well as with any additional conditions on the attached page (if indicated below).

### STANDARD CONDITIONS OF SUPERVISION

- 1) within thirty days from the date of this judgment, the defendant organization shall designate an official of the organization to act as the organizations's representative and to be the primary contact with the probation officer;
- 2) the defendant organization shall answer truthfully all inquiries by the probation officer and follow the instructions of the probation officer;
- 3) the defendant organization shall notify the probation officer ten days prior to any change in principal business or mailing address;
- 4) the defendant organization shall permit a probation officer to visit the organization at any of its operating business sites;
- 5) the defendant organization shall notify the probation officer within seventy-two hours of any criminal prosecution, major civil litigation, or administrative proceeding against the organization;
- 6) the defendant organization shall not dissolve, change its name, or change the name under which it does business unless this judgment and all criminal monetary penalties imposed by this court are either fully satisfied or are equally enforceable against the defendant's successors or assignees; and
- 7) the defendant organization shall not waste, nor without permission of the probation officer, sell, assign, or transfer its assets.

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### **SPECIAL CONDITIONS OF SUPERVISION**

The defendant shall cooperate fully with the United States Probation Office during the period of supervision including truthfully answering any inquiries by the probation office. The defendant shall provide the probation office with the following: full access to any of the defendant's operating locations as necessary; 10 days prior notice of any intended change in principal business or mailing address; and notice of any material change in the defendant's economic circumstances that might affect the defendant's ability to pay fines or meet other financial obligations as set forth in this Judgment.

The corporate defendant is hereby banned from any port in the United States until they have fully satisfied all financial sanctions including fines, interest, and special assessments. The denial of entry to any port of the United States shall apply to all vessels managed by the defendant and/or any successor, assignee, subcontractor, acquirer, affiliate or other entity related to either defendant by reason of shared or common ownership, management or control.

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### CRIMINAL MONETARY PENALTIES

The defendant organization must pay the following total criminal monetary penalties under the schedule of payments on Sheet 4.

	<u>Assessment</u>	<u>Fine</u>	<u>Restitution</u>
TOTALS	\$ 3,600.00	\$ 675,000.00	\$ 225,000.00

☐ The determination of restitution is deferred until \_\_\_\_\_. An *Amended Judgment in a Criminal Case* (AO 245C) will be entered after such determination.

☒ The defendant organization shall make restitution (including community restitution) to the following payees in the amount listed below.

If the defendant organization makes a partial payment, each payee shall receive an approximately proportioned payment, unless specified otherwise in the priority order or percentage payment column below. However, pursuant to 18 U.S.C. § 3664(i), all nonfederal victims must be paid before the United States is paid.

<u>Name of Payee</u>	<u>Total Loss*</u>	<u>Restitution Ordered</u>	<u>Priority or Percentage</u>
Gray's Reef National Marine Sanctuary Foundation	\$225,000.00	\$225,000.00	
Attn: Velma Hart, Chief Financial Officer			
8601 Georgia Avenue, Suite 510			
Silver Spring, MD 20910			

(\*Community Service Payment)

TOTALS	\$ 225,000.00	\$ 225,000.00
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☐ Restitution amount ordered pursuant to plea agreement \$ \_\_\_\_\_

☐ The defendant organization shall pay interest on restitution or a fine of more than \$2,500, unless the restitution or fine is paid in full before the fifteenth day after the date of the judgment, pursuant to 18 U.S.C. § 3612(f). All of the payment options on Sheet 4 may be subject to penalties for delinquency and default, pursuant to 18 U.S.C. § 3612(g).

☐ The court determined that the defendant organization does not have the ability to pay interest, and it is ordered that:

☐ the interest requirement is waived for the ☐ fine ☐ restitution.

☐ the interest requirement for the ☐ fine ☐ restitution is modified as follows:

\* Findings for the total amount of losses are required under Chapters 109A, 110, 110A, and 113A of Title 18 for offenses committed on or after September 13, 1994, but before April 23, 1996.

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## SCHEDULE OF PAYMENTS

Having assessed the organization's ability to pay, payment of the total criminal monetary penalties are due as follows:

- A ☐ Lump sum payment of \$ \_\_\_\_\_ due immediately, balance due
- ☐ not later than \_\_\_\_\_, or
- ☐ in accordance with ☐ C or ☐ D below; or
- B ☒ Payment to begin immediately (may be combined with ☐ C or ☒ D below); or
- C ☐ Payment in \_\_\_\_\_ (e.g., equal, weekly, monthly, quarterly) installments of \$ \_\_\_\_\_ over a period of \_\_\_\_\_ (e.g., months or years), to commence \_\_\_\_\_ (e.g., 30 or 60 days) after the date of this judgment; or
- D ☒ Special instructions regarding the payment of criminal monetary penalties:

Payment of the special assessment shall be due immediately. Payment of the total fine and community service payment shall be due and full immediately. If the defendant is unable to pay the in full immediately, the defendant may pay in installments of \$180,000 per year during the term of probation. Any payment received shall be divided proportionally between the fine and community service payment.

**All criminal monetary penalties are made to the clerk of the court.**

The defendant organization shall receive credit for all payments previously made toward any criminal monetary penalties imposed.

- ☐
- Joint and Several

Defendant and Co-Defendant Names and Case Numbers (including defendant number), Total Amount, Joint and Several Amount, and corresponding payee, if appropriate.

- ☐ The defendant organization shall pay the cost of prosecution.
- ☐ The defendant organization shall pay the following court cost(s):
- ☐ The defendant organization shall forfeit the defendant organization's interest in the following property to the United States: